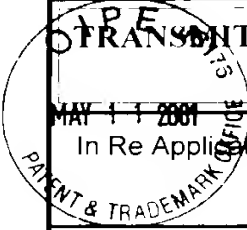


1711



INFORMATIONAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 1555A1
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In Re Application Of: **Michael O. Okoroafor et al.**

EX-9
5/18/01
#3

Serial No. 09/695,325	Filing Date October 25, 2000	Examiner	Group Art Unit 1711
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Title: **METHOD OF PREPARING AN OPTICAL POLYMERIZATE**

Address to
Assistant Commissioner for Patents
Washington, D.C. 20231

MAY 16 2001

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

- 1. a Final Action under 37 CFR 1.113, or
- 2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

☐ a certification as specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
1555A1

MAY 11 2001

In Re Application Of: **Michael O. Okoroafor et al.**

Serial No.
09/695,325

Filing Date
October 25, 2000

Examiner

Group Art Unit
1711

Title: **METHOD OF PREPARING AN OPTICAL POLYMERIZATE**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **16-2025** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **05/09/01** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Helen R. McKay
Signature of Person Mailing Correspondence

Helen R. McKay

Typed or Printed Name of Person Mailing Correspondence

Carol A. Marmo
Signature

Dated: **9 May 2001**

Carol A. Marmo
Registration No. 39,761
Telephone No. (412) 434-3797
Facsimile No. (412) 434-4292

CC: